EUROPE FOR CITIZENS PROGRAMME 2007-2013



PROGRAMME GUIDEVersion valid as of January 2013

Directorate-General for Communication http://ec.europa.eu/citizenship/index_en.htm

Education, Audiovisual and Culture Executive Agency http://eacea.ec.europa.eu/citizenship/index_en.php

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WARNING

Please note that the standard grant agreements/decisions of the Agency are currently under revision due to the entry into force of the new Financial Regulation and its Rules of Application as from 01 January 2013. For this reason they are not yet annexed to the call/programme guide. The Agency will publish the draft grant agreements/decisions related to this call/programme guide as soon as possible and, in any event, in due time before the deadline for the submission of proposals.

Please note also that the other documents available to you are already in line with the new provisions. The Agency reserves, however, the right to introduce changes or additional details subject to the adoption of the final text of the Financial Regulation and Rules of Application. In this case the changes will be announced in due time before the deadline.

PART ONE – GENERAL

CHAPTER I INTRODUCTION

I.1 Background

The adoption of the Lisbon Treaty at the end of 2009 led to a number of important changes with regard to the concept of European citizenship. Both the Treaty on European Union and the Treaty on the Functioning of the European Union enshrine the democratic principles of the EU, stipulate the rights of Union citizens and outline the key methods of involving citizens and their associations in shaping the European political agenda. The (i) expression of citizens' needs and the necessary deliverables to meet them, the (ii) openness of the democratic system for civic participation and the (iii) rights of people including the knowledge about these rights are closely interlinked. Developing a lasting sense of ownership for and belonging to the European Union requires that all three aspects are addressed equally and with the appropriate instruments.

Considering the European citizenship as an important element in strengthening and safeguarding the process of European integration, the European Commission continues encouraging the engagement of European citizens in all aspects of the life of their community, thus enabling them to participate in the construction of an ever closer Europe.

By Decision 1904/2006/EC of 12 December 2006 the European Parliament and the Council adopted the "Europe for Citizens" Programme for the period 2007-2013, which put into place the legal framework to support a wide range of activities and organisations promoting 'active European citizenship' and therefore the involvement of citizens and Civil Society Organizations (hereafter CSOs) in the process of European integration.

I.2 Purpose of the Programme Guide

The aims of this Programme Guide is to assist all those interested in developing projects or receiving financial support under the "Europe for Citizens" Programme (2007-2013). It helps them understand both the objectives and the actions of the Programme and therefore the types of activities that can be supported.

It gives detailed information on what is needed to apply and what level of grant can be offered. It includes:

- a comprehensive set of information about funding opportunities under the "Europe for Citizens" Programme: essential conditions for an application for funding, explanation of the selection procedure and of general rules relevant to those applications for EU grants that are selected at the end of this procedure;
- in the interests of stability and predictability, a calendar for the submission and assessment of applications, which shall be valid for the entire duration of the Programme. This will facilitate more effective and longer-term planning for organisations interested in developing activities under this Programme;
- a detailed and stable definition of all requirements each type of project is supposed to match;

- a glossary of terms and definitions relevant to the "Europe for Citizens" Programme (see ANNEX I, p.53).

The applicability of this guide, however, is subject to fulfilment of the following conditions:

- adoption by the Commission of the annual work programme for the Europe for Citizens Programme, following its transmission to the Programme Committee;
- adoption by the European Parliament and Council of the funding required for the Europe for Citizens Programme in the framework of the annual budget of the European Union.

All forms and documents needed to apply for funding can be downloaded via the Internet addresses provided in the Chapter II.1 "Submission procedure" of this guide for ease of access for applicants (see p.14).

I.3 General and specific objectives of the Europe for Citizens Programme

General objectives are to contribute to:

- giving citizens the opportunity to interact and participate in constructing an ever closer Europe, which is democratic and world-oriented, united in and enriched through its cultural diversity, thus developing citizenship of the European Union;
- developing a sense of European identity, based on common values, history and culture;
- fostering a sense of ownership of the European Union among its citizens;
- enhancing tolerance and mutual understanding between European citizens respecting and promoting cultural and linguistic diversity, while contributing to intercultural dialogue.

Specific objectives shall be pursued on a transnational basis:

- bringing together people from local communities across Europe to share and exchange experiences, opinions and values, to learn from history and to build for the future;
- fostering action, debate and reflection related to European citizenship and democracy, shared values, common history and culture through cooperation within civil society organisations at European level;
- bringing Europe closer to its citizens by promoting Europe's values and achievements, while preserving the memory of its past;
- encouraging interaction between citizens and civil society organisations from all
 participating countries, contributing to intercultural dialogue and bringing to the fore both
 Europe's diversity and unity, with particular attention to activities aimed at developing
 closer ties between citizens from Member States of the European Union as constituted on
 30 April 2004 and those from Member States which have acceded since that date.

I.4 Priorities of the "Europe for Citizens" Programme

Under this Programme, priority is given to certain themes of particular relevance for the development of an active European citizenship. The focus on such themes will encourage synergies between projects working on the same issue and will ensure visibility and impact of the supported activities and of the Programme in general. In addressing these themes, applicants are invited to go beyond a national vision, and to tackle an issue from a European perspective, and/or by comparing different national points of view. This transnational dimension should be accompanied whenever possible by a strong local dimension.

Applicants under this Programme are invited to address in their projects at least one of the Programme's priorities.

Applicants are also invited to take into consideration the cross cutting issues, such as gender balance and non-discrimination. Programme should therefore be accessible to all European citizens, including legal residents without any form of discrimination on grounds of sex, racial or ethnic origin, religion or belief, disability, age and sexual orientation.

Priorities

See the EACEA website:

http://eacea.ec.europa.eu/citizenship/programme/priority_themes_en.php

I.5 Structure of the Europe for Citizens Programme

The Programme is implemented through four Actions:

- Action 1: Active European Citizenship (town twinning citizens' meetings, networks of twinned towns, citizens' projects, support measures)
- Action 2: Active Civil Society in Europe (Support for projects initiated by civil society organisations (CSOs) and structural support for think tanks and CSOs)
- Action 3: Together for Europe (high visibility events, studies and information and dissemination tools)
- Action 4: Active European Remembrance

There are no grants available <u>under Action 3</u> and thus this Guide does not apply to Action 3.

In order to achieve its objectives, the "Europe for Citizens" Programme proposes different Actions supported within two types of grants:

A. PROJECT GRANTS - projects are actions with a limited lifetime during which proposed specific activities are implemented.

B. OPERATING GRANTS - operating grants¹ are different from project grants in that they provide financial support for costs required for the proper conduct of the usual and permanent activities of an organisation, namely staff costs, the cost of internal meetings, publications, information and dissemination, travel costs arising from the implementation of the work programme, rental payments, depreciation and other costs directly linked to the organisation's work programme.

A short description of different Actions and Measures is provided hereafter:

Action 1 – Active Citizens for Europe

"Active citizens for Europe" aims to bring together people from local communities across Europe to share and exchange experiences, opinions and values, to learn from history and to build for the future. It encourages meetings, exchanges and debates among European citizens from different countries and through different means:

Measure 1 – Town Twinning

This measure is aimed at activities that involve or promote direct exchanges between European citizens through their participation in town twinning activities and encourage networking between twinned towns. This measure therefore covers the following two types of activity: **Measure 1.1 Town Twinning Citizens' Meetings** (see p.35) and **Measure 1.2 Networks of Twinned Towns** (see p.38). *Twinned towns* must be understood in *largo sensu*,

¹ This action is managed through a specific Call for proposal available on the website http://eacea.ec.europa.eu/citizenship/funding/2013/call_action2_1_12_en.php.

thus referring to the towns which signed or are engaged to sign twinning agreements as well as to the towns having other forms of partnerships fostering their cooperation and cultural links.

Measure 2 – Citizens' Projects and Support Measures

Under the **Measure 2.1 Citizens' Projects** (see p.41), a variety of projects of a transnational and cross-sectoral dimension, directly involving citizens, can be supported. Those projects should gather citizens from different horizons, who will act together or debate on common European issues, at local and European level. Innovative methods enabling citizens' participation should be applied.

In order to develop and strengthen all Programme Actions it is also necessary to develop support measures – covered under the **Measure 2.2 Support Measures** (see p.44) – to exchange best practices and to pool experiences between different programme stakeholders promoting active Europe citizenship.

Action 2 – Active Civil Society in Europe

Measure 3 – Support for projects initiated by civil society organisations

The aim of this measure is to support cooperation on concrete projects of civil society organisations from different participating countries, on specific issues in relation with the objectives and priorities of the programme. A variety of organisations, established at local, regional, national or European level, can be involved (see p.46).

Action 4 – Active European Remembrance

This action aims at commemorating the victims of Nazism and Stalinism (see p.49).

I.6 Management of the Europe for Citizens Programme

The European Commission

Directorate General for Communication (DG COMM), is ultimately responsible for the smooth running of the Europe for Citizens Programme. It manages the budget and sets priorities, targets and criteria for the Programme on an ongoing basis, after consultation of the Programme Committee. Furthermore, it guides and monitors the general implementation, follow-up and evaluation of the Programme at European level. The European Commission relies on an Executive Agency.

The Education, Audiovisual and Culture Executive Agency

The EACEA, established by decision 2005/56/EC of the European Commission of 14 January 2005, is responsible for the implementation of all actions of the Europe for Citizens Programme except for a part of the Action 3, which is directly carried out by the DG Communication. The EACEA is in charge of the management of the complete life cycle of these projects, including drawing up calls for proposals, selecting projects and signing project decisions/agreements, financial management, monitoring of projects, communication with beneficiaries and on the spot controls.

Europe for Citizens Points (ECPs)

In order to bring the information on the Europe for Citizens Programme closer to the programme stakeholders and provide them with guidance and support, the European Commission established the *Europe for Citizens Points*. These national structures are responsible for ensuring targeted, effective grass-roots dissemination of practical information on the Programme implementation, its activities and funding opportunities. Since 2008 many Member States have set these coordination structures to co-operate with the Commission and to mobilize all relevant multiplier in their country. A list of Europe for Citizens Points can be found at http://eacea.ec.europa.eu/citizenship/index_en.php

The Member States and other participating countries

The EU Member States are involved in the implementation of the Europe for Citizens Programme, in particular through the Programme Committee, to which they appoint representatives. The Programme Committee is formally consulted on different aspects of the implementation of the Programme, for example on the proposed annual work plan, the selection criteria and procedures, the general balance between the various actions, etc. Other countries participating in the Programme also take part in the Programme Committee, as observers without voting right.

I.7 Overall Programme budget

The Programme has an overall budget of **215 million euro** for the seven years (2007-2013). The annual budget is subject to decision of the budgetary authorities. The following site enables you to follow the different steps of the adoption of the budget. This Programme is financed under the budget line **16 05 01**:

http://ec.europa.eu/budget/documents/2011_en.htm?submenuheader=2

The decision establishing the Programme specified that the overall breakdown between the different actions for the whole Programme period 2007 – 2013 should be the following:

Action 1 – Active Citizens for Europe: at least 45%.

Action 2 – Active Civil Society in Europe: approximately 31%.

Action 3 – Together for Europe: approximately 10%.

Action 4 – Active European Remembrance: approximately 4%.

The remaining appropriations are allocated to cover the Programme's general, administrative and technical expenses.

I.8 Calendar 2011-2013 and publication of selection results

The deadlines for submission of applications are scheduled as follows:

Action 1: Active citizens for Europe

Measure	Deadline for	Eligibility period: Projects must start between
	submission*	
Measure 1.1 Town-Twinning Citizens' meetings		
Phase 1	1 February	1 June of the same year of the deadline and 28 February of the year after the deadline
Phase 2	1 June	1 October of the same year of the deadline and 30 June of the year after the deadline
Phase 3	1 September	1 January and 30 September of the year after the deadline
Measure 1.2 Networks of Twinned Towns		
Phase 1	1 February	1 June and 30 December of the same year as the deadline
Phase 2	1 September	1 January and 30 May of the year after the deadline
Measure 2.1 Citizens' projects		
	1 June	1 December of the same year of the deadline and31 May of the year after the deadline
Measure 2.2 Support measure		
	1 June	1 December of the same year of the deadline and31 May of the year after the deadline

Action 2: Active civil society in Europe

Measure	Deadline for	Eligibility period: Projects must start between
	submission	
Measure 3	1 February	1 August of the same year as the deadline and
Projects initiated by civil		31 January of the year after the deadline
society organisations		

Action 4: Active European Remembrance

Deadline for submission	Eligibility period: Projects must start between
1 June	1 December of the same year of the deadline and
	31 May of the year after the deadline

^{*}Applications must be submitted before 12.00 p.m. (mid-day Brussels time) on the final date for applications. If the deadline for submission falls on a weekend, the 1st working day after a week-end must be considered as the day of the deadline.

Publication of the selection results

Applicants should, in principle, be notified of the outcome of the selection procedure during the fourth month after the application deadline. The lists of selected projects will be published on the following website:

http://eacea.ec.europa.eu/citizenship/results_compendia/results_en.php

Applicants whose applications have not been selected will be informed in writing.

It is important to keep in mind that during the period between the deadline for submission of applications and the publication of selection results the following procedures take place:

- Assessment and selection of applications;
- Only the projects submitted under Action 1 Measure 1.2 Networks of Twinned Towns are subject to a *consultation procedure* within the Programme Committee and the European Parliament, which takes a minimum of six weeks (for more information on *consultation procedure* please refer to Chapter II.3, p.22);
- Adoption of the selection decision.

Only after the above-mentioned procedures the applicants can be informed about the outcome of the selection process.

I.9 Contacts

Europe for Citizens Points (ECPs)

The applicants are encouraged to contact the Europe for Citizens Points – national structures responsible for dissemination of practical information on the Europe for Citizens Programme implementation – in their respective countries. The contact details of ECPs are available at the following address: http://eacea.ec.europa.eu/citizenship/index_en.php

EACEA - Unit P7 Citizenship

Avenue du Bourget, 1 (BOUR 01/04A)

B-1140 Brussels - Belgium

Fax:+32 2 296 23 89; http://eacea.ec.europa.eu/citizenship/index_en.php

Action 1

Measure 1.1 - Town Twinning Citizens' meetings & Measure 1.2 - Networks of Twinned Towns: eacea-p7@ec.europa.eu

Measure 2.1 - Citizens' Projects & Measure 2.2 - Support Measures:

eacea-p7-citizensprojects@ec.europa.eu

Action 2

Measure 3 Support to Projects Initiated by CSOs: eacea-p7-civilsociety@ec.europa.eu

Action 4 - Active European Remembrance: eacea-p7-remembrance@ec.europa.eu

CHAPTER II SUBMISSION AND SELECTION PROCEDURES

II.1 Submission procedure

II.1.1 Grant application electronic form (eForm)

For all measures an electronic application system has been set up. The project proposals have to be submitted using the currently available grant application electronic form (eForm), which can be found at the EACEA website: http://eacea.ec.europa.eu/eforms/index_en.php#1.

Applications submitted on paper by post, fax or e-mail will NOT be accepted for further evaluation.

Once you have downloaded the eForm you must complete all of the data fields. In addition, you must complete and attach to the e-Form the documents considered as integral part of the application:

for all the actions: the Declaration on Honour,

for Action 1 Measure 1.1 (Citizens Meetings) and Action 1 Measure 1.2 (Networks of Twinned Towns): the Legal Entity Form and the Financial Identification Form in .pdf format, for actions budget- based: the Budget details

using the official forms available on the website at: http://eacea.ec.europa.eu/citizenship/index_en.php

A successfully submitted application MUST contain its submission number, which will be automatically recorded upon its submission.

Please DO NOT SEND A COPY of the eForm and attached documents by post to the Agency.

For more information regarding the submission procedure please consult our website at: http://eacea.ec.europa.eu/citizenship/index_en.php

II.1.2 Complementary documents to be sent ONLY upon request of the EACEA

The following documents might be requested by the EACEA:

For Action 1.1 and 1.2 ONLY:

 Proof that your organization is acting on behalf of one or more local authorities (applies only to non profit organizations and twinning committees submitting their applications under Measure 1.1 Town Twinning Citizens' Meetings and Measure 1.2 Networks of Twinned Towns).

For all the Actions:

- Check list containing the submission number of the application and indicating the set of attachments sent to the Agency;
- Legal Entity form (applicants can find the appropriate forms at the following address: http://ec.europa.eu/budget/execution/legal_entities_en.htm)

- with a copy of an official VAT document if your organization has a VAT number;
- a copy of the resolution, law, decree or decision establishing the entity in question (applicable only to non profit organizations and twinning committees);
- Financial Identification form duly completed and certified by the bank preferably with a copy of a recent bank statement (see the corresponding financial identification form for each country at the following address: http://ec.europa.eu/budget/execution/ftiers_en.htm);
- Financial capacity form: applies only to non public bodies if the requested grant is **higher** than € 60 000. In such case, the official profit and loss account and balance sheet of the organisation for the last financial year for which the accounts have been closed (these should not be more than 18 months old) must be attached to the duly completed financial capacity form (applies to all measures except for Measure 1.1 Town Tinning Citizens Meetings);

The official forms of these documents are also available at: http://eacea.ec.europa.eu/citizenship/index_en.php

II.2 Selection procedure

The awarding of grants from the European Union is subject to the principles of transparency, equal treatment and non-discrimination. On this basis, specific sets of criteria are defined to ensure a transparent and equal treatment. Throughout the selection procedure applications will be assessed against the following criteria: eligibility, exclusion, selection and award criteria.

II.2.1 Eligibility criteria

Applications will be checked to ensure that they fully comply with the eligibility criteria. If a project proposal does not meet these criteria, it will be rejected without being further evaluated.

Eligibility criteria are divided into three main categories targeting a) applicant and partners, b) project nature and dimension and c) application. This guide provides an overview table on the eligibility criteria applying under the "Europe for Citizens" Programme (see ANNEX II, p.58).

Proposals are assessed to ensure that they fully comply with the eligibility criteria common to all *measures* of the Programme (see eligibility criteria described below) as well as with the specific eligibility criteria applicable to each measure (see specific eligibility criteria in the Part TWO of the Programme Guide "Specificities of the Programme actions").

A. Applicant and partners

A. 1 Nature of the Applicant and Partners

A.1.1 Legal status

The applicants and partners must be either public bodies or non profit organisations with legal personality depending on the measure.

A.1.2 Established in a participating country

The applicants and partners must be established in one of the countries participating to the Programme.

Participating countries (eligible countries)

The Programme is open to the Member states of the European Union: Austria, Belgium, Bulgaria, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, United Kingdom. The following countries have joined the Programme and are therefore eligible to participate fully in all actions of the Programme: Croatia, The Former Yugoslav Republic of Macedonia, Albania, Montenegro, Serbia, Bosnia and Herzegovina.

• Potentially participating countries

The Programme is potentially open to other countries, namely the EFTA countries which are parties to the EEA² (Iceland, Liechtenstein and Norway), the candidate country (Turkey) and Kosovo under United Nations Security Council Resolution 1244, provided that certain legal and financial obligations are fulfilled (i.e. signing a memorandum of understanding laying down details of their respective participation in the Programme). More information on the developments related to the participation of these countries can be found at: http://ec.europa.eu/citizenship/focus/focus/4 en.htm

A.1.3 Type of organisation (specific eligibility criterion, depending on the measure please refer to the Part TWO of the Programme Guide "Specificities of the Programme actions").

A.2 Number of Partners (specific eligibility criterion, depending on the measure please refer to the Part TWO of the Programme Guide "Specificities of the Programme actions").

B. Project nature and dimension

Specific eligibility criteria, depending on the measure please refer to the Part TWO of the Programme Guide "Specificities of the Programme actions"

- **B.1** Number of participants
- **B.2 Budget**
- **B.3** Venue and number of activities
- B.4. Eligibility period/project duration

-

² European Economic Area

C. Application

C.1 Official application form

The project proposal is eligible if it is submitted using the currently available grant application electronic form (eForm), which can be found at EACEA website http://eacea.ec.europa.eu/eforms/index_en.php#1. Applications submitted on paper by post, fax or e-mail will NOT be accepted for further evaluation. For more details please see the Chapter II.1 "Submission Procedure" (p. 14).

For the information related to the attachments to be filled out please also refer to the Chapter II.1 "Submission Procedure" (see p. 14).

C.2 Deadline

The project proposals have to be submitted within the deadlines and start within the relevant eligible period (see Chapter I.8 "Calendar" p.12).

C.3 Official language

The official application form (eFORM) has to be completed in full in one of the EU official languages, which are listed at http://europa.eu/abc/european_countries/languages/index_en.htm

II.2.2 Exclusion criteria

Applicants must state that they are not in any of the situations described in Articles 93, 94 and 96(2) of the Financial Regulation applicable to the general budget of the European Communities³ set out below.

Applicants will be excluded from participating in the 'Europe for citizens' Programme if they are in any of the following situations:

- they are bankrupt or being wound up, are having their affairs administered by the
 courts, have entered into an arrangement with creditors, have suspended business
 activities, are the subject of proceedings concerning those matters, or are in any
 analogous situation arising from a similar procedure provided for in national
 legislation or regulations;
- they have been convicted of an offence concerning their professional conduct by a judgment which has the force of *res judicata*;
- they have been guilty of grave professional misconduct proven by any means which the contracting authority can justify;
- they have not fulfilled obligations relating to the payment of social security contributions or the payment of taxes in accordance with the legal provisions of the

to €60 000. Article 122 (3) FR 2013

³ (Council Regulation (EC, Euratom) No 1605/2002 as amended by: Council Regulation (EC, Euratom) No 1995/2006 of 13 December 2006 and Council Regulation (EC) No 1525/2007 of 17 December 2007). http://ec.europa.eu/dgs/budget/index_en.htm. This provision does not apply for grants which are lower than or equal

country in which they are established or with those of the country of the contracting authority or those of the country where the contract is to be performed;

- they have been the subject of a judgment which has the force of *res judicata* for fraud, corruption, involvement in a criminal organisation or any other illegal activity detrimental to the Union's financial interests;
- they are subject to an administrative penalty referred to in Article 96(1) of the Financial Regulation (Council Regulation 1605/2002 of 25.06.2002 as subsequently amended).
- following another procurement procedure or grant award procedure financed by the European Union budget, they have been declared to be in serious breach of contract for failure to comply with their contractual obligations

Applicants will not be granted financial assistance if, on the date of the grant award procedure, they:

- are subject to a conflict of interests;
- are guilty of misrepresentation in supplying the information required by the contracting authority as a condition of participation in the grant award procedure, or fail to supply this information.
- find themselves in one of the situations of exclusion, referred to in art 93(1) of the Financial Regulation, for this grant award procedure;

and they are subject to the penalty consisting in the exclusion from contracts and grants financed by the budget for a maximum period of ten years.

In accordance with Articles from 93 to 96 of the Financial Regulation, administrative and financial penalties may be imposed on applicants who are guilty of misrepresentation or are found to have seriously failed to meet their contractual obligations under a previous contract award procedure.

These exclusion criteria apply to all Actions and Measures of the 'Europe for Citizens' Programme. To comply with these provisions, applicants must sign a 'declaration on honour' certifying that they are not in any of the situations referred to in Articles 93 and 94 of the Financial Regulation. This 'declaration on honour' constitutes a integral part of the application form.

II.2.3 Selection criteria

Project proposals which comply with the eligibility and exclusion criteria are subject to an indepth evaluation on the basis of the financial and operational capacity of the applicant organisations.

<u>Financial capacity</u> means the applicant has stable and sufficient sources of funding to maintain its activity throughout the project. It will be assessed on the basis of the following documents to be submitted by the applicant: the Financial Identification form, the Financial Capacity form accompanied by the official profit and loss account and balance sheet of the organisation for the last financial year for which the accounts have been closed (for more details please refer to Chapter II.1"Submission procedure" <u>p.14</u>). The financial capacity criterion is NOT applicable to Measure 1.1 Town Twinning Citizens' Meetings.

N.B. The verification of financial capacity does not apply to:

- applicants introducing a grant request that does not exceed € 60 000.
- public bodies

If the Executive Agency concludes that the required *financial capacity* - assessed on the basis of the documentation submitted - has not been proved or is not satisfactory, then it may:

- ask for further information;
- require a bank guarantee;
- offer a grant agreement without pre-financing;
- reject the application;
- make a first payment on the basis of expenses already incurred.

<u>Operational capacity</u> means the applicant applying for a grant above €60 000 must show that it has the necessary competencies and motivation to carry out the proposed project. The operational capacity will be assessed on the basis of the applicants' experience in managing the projects in the field concerned. This information is to be provided under a specific section of the application form developed for this purpose.

II.2.4 Award criteria

The award criteria allow the Executive Agency to evaluate the quality of submitted applications in relation to the objectives of the 'Europe for Citizens' Programme. On the basis of these criteria, grants will be awarded to those applications which maximize the overall effectiveness of the 'Europe for Citizens' Programme.

Eligible applications are analysed by an *evaluation committee* composed of Commission and Executive Agency officials. Its work relies on the quality assessment of the eligible applications undertaken by independent experts from the countries taking part in the Programme⁴. The *evaluation committee* proposes a list of organisations or projects for granting on the basis of their assessment score and of the available budgetary resources.

Eligible project proposals will be assessed against the qualitative and quantitative criteria.

Qualitative and quantitative criteria

Within the evaluation procedure qualitative criteria will represent 80% of the points available and quantitative criteria will represent 20% of points available:

⁴ The independent experts are selected on the basis of an open call for expression of interest. http://eacea.ec.europa.eu/about/call_experts/call_experts_2007_en.php

Award criteria applying to all project grants, namely:

- Action 1 Measure 1.1 Town Twinning Citizens' Meetings (see p. 35).
- Action 1 Measure 1.2 Networks of Twinned Towns (see p.38).
- Action 1 Measure 2.1 Citizens' projects (see p.41).
- Action 1 Measure 2.2 Support measures (see p.44).
- Action 2 Measure 3 Support to projects initiated by civil society organisations (see p.46).
- Action 4 Active European Remembrance (see p.49).

% of	Qualitative criteria: 80%		
points available			
avaiiabie	Relevance to the objectives and priorities of the Programme:		
25%	General and specific objectives of the Programme.		
	Permanent and annual priorities of the Programme.		
	Quality of the project and methods proposed:		
25%			
2370	 Quality of the project's framework (active involvement of all the promoters in the project; definition of the programme, quality of the evaluation phase). 		
	• Quality of the project's content and methodology (theme relevant to the group of participants; appropriate methodology applied; active involvement of participants and local community in the project; European dimension).		
	Cross-fertilisation: the fact that the project involves different kinds of organisations		
	Impact:		
15%	• Short and long term effect at local, national and European levels, and in particular any concrete link to the policy making process,		
	• Impact of the project on the participants (i.e. how their sense of belonging to the EU and their involvement in the EU integration issues could be increased),		
	Its multiplier effects,		
	Planned evaluation means.		
	Visibility and Follow-up:		
15%	• The quality of the project's reach (visibility of the project and of the 'Europe for Citizens' Programme', follow up, dissemination and exploitation of the results including at political level, nationally and across borders; concrete future action plans involving participating local and regional governments and their citizens).		
% of	Quantitative criteria: 20%		
points available			
	Geographical impact:		
10%	Number of countries and partners involved.		
	Target Group:		
10%	Number of direct participants involved – including disadvantaged people – gender balanced,		
	Public indirectly reached by the project		

II.3 Consultation of the Programme Committee and the European Parliament

In accordance with the legal basis the projects submitted under Action 1 Measure 1.2 Networks of Twinned Towns are subject to the *consultation procedure* within the Programme Committee and the European Parliament. Thus, the list of selected proposals is submitted to the Programme Committee, composed of representatives of the countries participating to the Programme, for their opinion and is subsequently transmitted to the European Parliament so that the latter can exercise its *right of scrutiny*.

II.4 Award of grant

Only after completion of the procedure mentioned above, the selection process can be considered as finalised and the list of proposals selected for co-financing can be published.

Up to the limits of funds available, eligible proposals with the highest score receive a grant. Selected applicants receive a *Grant Agreement / Grant Decision* (see p.23) quoting the amount of the grant awarded by the European Union and setting out the conditions under which the grant is awarded.

The Commission and the Executive Agency reserve the right to ensure a balanced geographical distribution of the selected beneficiaries.

CHAPTER III FINANCIAL AND CONTRACTUAL CONDITIONS

As with all European Union grants, financial contributions awarded under the Europe for Citizens Programme are subject to some rules deriving from the Financial Regulations⁵ applicable to the General Budget of the European Union, and to the General Conditions applicable to the subventions of the European Commission. Their application is compulsory.

III.1 General financial and contractual conditions

III.1.1 Grant amount

It should be noted that the amount granted by the grant agreement/decision is a maximum which cannot be increased in any circumstances. The Agency will determine the amount of the final payment to be made to the beneficiary on the basis of the final report drawn up by the latter. Please refer to Chapter III.2.6 "Payment procedures" (see p.32).

III.1.2 Co-financing

The EU grant cannot finance the entire costs of the project of an organisation. Promoters must show their commitment to the project by finding financing sources other than the Union grant. This can be done, for example, by running fund-raising activities, by adding own resources, or by requesting grants from other organisations (e.g. local or regional authorities, foundations, etc.).

III.1.3 Grant Decision and Grant Agreement

When a project is approved, the beneficiary receives either a Grant Decision or a Grant Agreement depending on the specific action/measure and the place where it is legally established

- *The Grant Decision*: is a unilateral act awarding a subsidy to a beneficiary. Contrary to the Grant Agreement, the beneficiary does not have to sign the decision and can start the action immediately upon receipt. The decision will thus speed up the process. The Grant Decision applies to the beneficiaries established within the EU.
- *The Grant Agreement* must be signed by the beneficiary and returned to the Executive Agency immediately. The Executive Agency will be the last party to sign. *The Grant Agreement* applies to the beneficiaries established outside of the EU.

A model of the Grant Decision and Grant Agreement will be available on the following website: http://eacea.ec.europa.eu/citizenship/index_en.php

The general conditions applicable to the decision are available in the 'Documents register' of the Agency website http://eacea.ec.europa.eu/index.htm.

As regards grant decisions, beneficiaries understand that:

Submission of a grant application implies acceptance of these General

⁵ Council Regulation (EC, Euratom) No 1605/2002 as last amended http://ec.europa.eu/dgs/budget/index_en.htm

<u>Conditions. These General Conditions bind the beneficiary to whom the grant is</u> awarded and shall constitute an annex to the Grant Decision.

III.1.4 Obligations arising from the Grant Decision and Grant Agreement

By submitting a grant application form the applicant organisation commits itself to all of the conditions specified in the Programme Guide, including the General Conditions, annexed to the *Grant Agreement / Grant Decision*.

Any request for a modification of the Grant Decision/Agreement <u>must be submitted</u> to the Executive Agency <u>at latest **one months** before the end of the project (*project grants*) in writing for prior approval. No changes having the effect of altering the main concept of the planned activities are allowed. Any changes to the planned activities without prior approval by the Executive Agency may lead to the grant cancellation.</u>

III.1.5 Subcontracting and award of procurement contract

The entity receiving the grant may resort to subcontracting for specific technical services requiring specialised skills (relating to the legal, accounting, tax, human resources fields, etc.). The costs incurred by the body receiving the grant for this type of services may therefore be considered eligible costs provided they meet all the other criteria of the Financial Regulation applicable to the budget of the Union and of the section "eligible costs" of this guide (see p.30) and, specifically, provided they are necessary for the implementation of the action.

Where implementation of the project requires subcontracting or the awarding of a procurement contract, beneficiaries must award the contract to the economically most advantageous offer, i.e. the bid offering the best value for money, respecting the principles of transparency and equal treatment of potential contractors and ensuring that there is no conflict of interest. In the event of subcontracting exceeding a value of \leqslant 60 000, the Executive Agency may impose special rules on these beneficiaries, in addition to those referred to in the previous paragraph.

The total amount of contracts may not exceed one half of the grant awarded by the European Union.

III.1.6 Guarantee

Following the analysis of the *financial capacity* (see p.19) the EACEA may require an organisation which has been awarded a grant exceeding 60.000 euro to provide a financial guarantee first, in order to limit the financial risks linked to the pre-financing payment (see p.32). The purpose of this guarantee is to make a bank or a financial institution stand as irrevocable collateral security, or first-call guaranter of the grant beneficiary's obligations.

This financial guarantee, in euro, shall be provided by an approved bank or financial institution established in one of the Member States of the European Union. If the beneficiary is established in a third country, the Executive Agency may agree to a bank or financial

institution established in that third country providing the guarantee, if it considers that the bank or financial institution offers equivalent security and characteristics to those offered by a bank or financial institution established in a Member State.

That guarantee may be replaced by a joint and several guarantees by a third party or by the irrevocable and unconditional joint guarantee of the beneficiaries of an action who are parties to the same grant agreement/decision, after acceptance by the authorising officer responsible.

The guarantee shall be released as the pre-financing is gradually cleared against interim payments or payments of balances to the beneficiary, in accordance with the conditions laid down in the grant agreement/decision.

This requirement does not apply to public bodies.

III.1.7 Audits

Selected projects applications may be subject to audits. The responsible person in the organisation will undertake, with his or her signature, to provide proof that the grant has been used correctly. The EACEA, the European Commission, and the Court of Auditors of the EU, or a body mandated by them, may check the use made of the grant, at any time during the term of the decision/agreement and during a period of five years following the last payment executed by the Agency.

III.1.8 Ownership/use of the results

The beneficiary grants the EACEA and the Commission the right to make free use of the results of the action as it deems fit, provided it does not thereby breach its confidentiality obligations or existing industrial and intellectual property rights.

III.1.9 Visibility and Publicity

All activities funded under the Europe for Citizens Programme have to contribute to promoting this Programme. Enhancing visibility of the Europe for Citizens Programme means for example that activities and products funded within the Programme must clearly mention that they have received Commission support.

Commission support should also be made clear in relations with the media. Project partners should make use of all opportunities to ensure appropriate media coverage (local, regional, national, international) for their activities, before and during their implementation.

Beneficiaries must clearly acknowledge the European Union's contribution in all publications or in conjunction with activities for which the grant is used.

Furthermore, beneficiaries are required to give prominence to the name or logo of the European Union, the European Commission, and the 'Europe for Citizens' Programme on all their publications, posters, programmes and other products realised under the co-financed project. The names and logos can be downloaded from the following website: http://europa.eu/abc/symbols/emblem/graphics1_en.htm.

III.1.10 Valorisation and dissemination of results

Valorisation can be defined as the process of disseminating and exploiting the results of actions with a view to optimising their value, strengthening their impact and making the largest possible number of European citizens benefit from them. This objective of valorisation has three consequences:

• Mobilisation of the potential of the individual actions:

Each action supported by this Programme should make the necessary efforts for ensuring its valorisation. Beneficiaries should carry out activities aimed at making the results of their projects/ implementation of work programme more visible, better known and sustainable in their own country and across borders. For example, they could encourage appropriate media coverage. They could inform - and possibly involve- local, regional, national or European officials and/or elected representatives as well as the Representation Offices of the European Commission in the Member States and the Europe Direct Information Network (http://europa.eu/europedirect/meet_us/index_en.htm). They could also plan to produce material facilitating the dissemination and exploitation of results, like flyers, DVDs, websites, publications etc. Thanks to these activities, it means that the results of a project/implementation of work programme will continue to be used and have a positive effect on the largest possible number of citizens once the project has come to an end. By planning valorisation activities as part of their actions promoters will increase the quality of their work and actively contribute to the overall impact of the Europe for citizens Programme. This aspect will be duly taken into account in the qualitative evaluation of the applications.

• Structuring the Programme:

This Programme has been designed for ensuring its greatest possible impact, for example through the setting of priorities, applicable to the whole Programme, or through the networking of organisations having gained experience in the same thematic area. The action 'Together for Europe' has a specific role to play in this field.

• Actions taken by the European Commission:

The European Commission will analyse the situation of valorisation under this Programme and will then carry out various activities for strengthening this dimension and supporting project promoters in this respect.

III.1.11 Data protection

All personal data (such as names, addresses, etc.) shall be processed in accordance with Regulation (EC) No 45/2001 of the European Parliament and of the Council of 18 December 2000 on the protection of individuals with regard to the processing of personal data by the European Union institutions and bodies and on the free movement of such data.

Unless marked as optional, the applicant's replies to the questions in the application form are necessary to evaluate and further process the grant application in accordance with the specifications of the call for proposals. Personal data will be processed solely for that purpose

by the department or Unit responsible for the Union grant programme concerned (entity acting as data controller). Personal data may be transferred on a need to know basis to third parties involved in the evaluation of applications or in the grant management procedure, without prejudice of transfer to the bodies in charge of monitoring and inspection tasks in accordance with European Union law. The applicant has the right of access to, and to rectify, the data concerning him or her. For any question relating to these data, please contact the Controller. Applicants have the right of recourse to the European Data Protection Supervisor at any time. A detailed Privacy statement, including contact information, is available on EACEA's website:

http://eacea.ec.europa.eu/about/documents/calls_gen_conditions/eacea_grants_privacy_statement.pdf

Applicants and, if they are legal entities, persons who have powers of representation, decision-making or control over them, are informed that, should they be in one of the situations mentioned in:

- the Commission Decision of 16.12.2008 on the Early Warning System (EWS) for the use of authorising officers of the Commission and the executive agencies (OJ, L 344, 20.12.2008, p. 125), or
- the Commission Regulation of 17.12.2008 on the Central Exclusion Database CED (OJ L 344, 20.12.2008, p. 12),

their personal details (name, given name if natural person, address, legal form and name and given name of the persons with powers of representation, decision-making or control, if legal person) may be registered in the EWS only or both in the EWS and CED, and communicated to the persons and entities listed in the above-mentioned Decision and Regulation, in relation to the award or the execution of a procurement contract or a grant agreement or decision.

III.1.12 Legal basis

The following rules, including any future updates or amendments to which they might be subject, are applicable to the administration and financing of the Programme:

- Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Union (OJ, L 248, 16/09/2002, p.1 as amended subsequently).
- Commission Regulation (EC, Euratom) No 2342/2002 of 23 December 2002 laying down detailed rules for the implementation of Council Regulation (EC, Euratom) No 1605/2002 on the Financial Regulation applicable to the general budget of the European Union (OJ 357 of 31/12/2002, p.1, as amended subsequently).
- European Parliament and Council Decision 1904/2006/EC of 12 December 2006 establishing 'Europe for Citizens Programme' 2007-2013.

Regulation (EU, Euratom) n° 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) n° 1605/2002

Proposal for Commission Delegated Regulation of 29.10.2012 on the rules of application of Regulation (EU) No.../2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union - C(2012) 7507 final (expected to enter into force as from 1 January 2013)

III.2 Financial and contractual conditions specific to Project grants

III.2.1 Non-retroactivity

No grant may be awarded retrospectively for projects already completed.

A grant may be awarded for a project which has already begun only where the applicant can demonstrate the need to start the project before the agreement/decision has been signed. In such cases, expenditure eligible for financing may not have been incurred prior to the date of submission of the grant application.

Starting the project before signing the agreement/decision is done at the risk of the organisation and does not make it more likely a grant will be awarded and in no circumstances the Agency will be bound to fund such projects.

III.2.2 No double-financing

Each individual project is entitled to receive one, and only one, grant from the European Union budget for the same activity. Projects or organisations which have applied or plan to apply for another European Union grant under the 'Europe for Citizens' Programme or under any other programme of the European institutions should indicate it clearly in their application and must inform the EACEA of the outcome of their parallel application. Projects which finally receive another European Union grant will not be considered for granting.

III.2.3 Non-profit

Grants awarded must not have the purpose or the effect of producing a profit for the beneficiary. In practical terms this means that if the total receipts of a project are higher than the final total costs of the project, the European Union grant will be reduced accordingly after the analysis of the final report. However, grants calculated on the basis of flat-rate amounts and scales of unit costs are not affected by this rule.

III.2.4 Observance of deadlines

Should the beneficiary wish to postpone its project so that it finishes later than the date specified in the Grant Decision/Agreement, an official request has to be presented to the Executive Agency. It must explain the reasons for the delay and propose the modified timetable. The request will be analysed by the Agency and – if accepted – an amendment to the Decision/Agreement will be sent to the Beneficiary.

Furthermore, requests for an extension of the project **greater than three months** will NOT be accepted.

III.2.5 Calculation of the grant

Depending on the measure within which the application is submitted the grant is calculated on the basis of:

- Flat-rate financing
- Budget-based financing

For the specificities of the grant calculation related to different measures please refer to the Part TWO of the Programme Guide "Specificities of the Programme actions".

III.2.5.1 Flat-rate financing

The system for calculating the grant based on flat rated and lump sums was introduced to simplify grant management both for the beneficiaries and for the Agency. Flat-rate financing may take the form of lump sums or of flat-rate financing in the form of scale of unit costs.

III.2.5.2 Budget-based financing

The amount of the grant will be calculated on the basis of a detailed forecast budget, submitted using the table provided with the application form.

The budget must be drawn up in EUR. Applicants not established in the Eurozone must use the monthly accounting rate established by the Commission and published on its website, and applicable to the month in which the application is submitted: http://ec.europa.eu/budget/inforeuro/index.cfm?Language=en.

The forecast budget must be balanced – i.e. total estimated expenses must equal total expected revenue (total expenses = total revenue) from all sources (including the application for a European Union grant). The forecast budget must clearly show all eligible expenses.

The applicant must indicate the sources and amounts of all other finance received or requested during the same financial year for the same project. The beneficiary's bank account (and/or sub-account) must make it possible to identify sums paid by the EACEA.

ELIGIBLE COSTS

In order to be eligible costs must:

- be incurred during the duration of the project as specified in the Grant Agreement/Grant Decision, with the exception of costs relating to final reports and audit certificates:
- be connected with the subject of the agreement/decision and indicated in the estimated overall budget of the project;
- be necessary for the implementation of the project, which is the subject of the grant;
- be identifiable and verifiable and, in particular, be recorded in the beneficiary's accounts and defined in accordance with the accounting rules that apply in the country where the beneficiary is established and in conformity with the beneficiary's accounting practices;
- comply with the requirements of applicable tax and social legislation;

• be reasonable and justified and comply with the requirements of sound financial management, in particular economy and efficiency.

The beneficiary's internal accounting and auditing procedures must permit direct reconciliation of the costs and revenue declared in respect of the project with the corresponding accounting statements and supporting documents.

<u>Eligible direct costs</u> for the project are those costs that, with due regard for the eligibility conditions set out in the previous paragraph, can be identified as specific costs with a direct link to the project's realisation and directly chargeable to it. In particular, the following direct costs are eligible:

- <u>costs of staff</u>, comprising actual salaries plus social security contributions and other statutory costs included in their remuneration, provided that these costs do not exceed the average rates corresponding to the usual remuneration policy of the beneficiary. The salaries of public sector employees are only eligible if they are paid for or reimbursed by the beneficiary, and the employees concerned are directly and exclusively working on the project. If they are only working partially on the project, then only the relevant percentage is eligible. The fact that these staff are working on the project must be proved by secondment agreements, job descriptions, time sheets or other means. Personnel costs **may not exceed 50% of the total direct eligible costs** set down in the estimated budget submitted by the applicant.
- <u>travel, accommodation and subsistence allowances</u>, provided that they comply with the beneficiary's usual practices on travel costs. If these costs are considered to be excessive, they shall be revised downwards and capped in accordance with the scales approved by the European Commission (for further details regarding daily allowance, please see the EACEA website http://eacea.ec.europa.eu/citizenship/funding/2011/index_en.php);
- <u>costs arising directly from requirements imposed by the grant decision/agreement</u> (i.e. communication and dissemination of information, production, translations, the organisation of training, information, and dissemination events; audit costs etc.), including the cost of any financial services (especially the cost of financial guarantees);
- <u>purchase cost of equipment</u> (new or second-hand), provided that it is written off in accordance with the tax and accounting rules applicable to the beneficiary and generally accepted for items of the same kind. Only the portion of the equipment's depreciation corresponding to the duration of the project and the rate of actual use for the purposes of the action may be taken into account by the Agency, except where the nature and/or the context of its use justifies different treatment by the Agency;
- costs of consumables and supplies;
- <u>costs entailed by other contracts awarded by a beneficiary</u> for the purposes of carrying out the project provided that the conditions laid down under the point related to the subcontracting and award of procurement contract are met (see p.24);
- <u>value added tax ("VAT") where it is not recoverable under the applicable national VAT legislation and is paid by a beneficiary other than a non-taxable person. ⁶</u>

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⁶ as defined in the first subparagraph of Article 13(1) of Council Directive 2006/112/EC of 28 November 2006 on the common system of value added tax (OJ L347. 11.12.2006 p. 1)

Eligible indirect costs (administrative costs)

A flat-rate amount, not exceeding 7% of the eligible direct costs, representing the beneficiary's general administrative costs which can be regarded as chargeable to the project.

Indirect costs may not include costs entered under another budget heading.

Indirect costs are not eligible where the beneficiary is already in receipt of an operating grant awarded under the budget of the European Union.

INELIGIBLE COSTS

The following costs are ineligible:

- return on capital;
- debt and debt service charges;
- provisions for losses or potential future liabilities;
- interest owed;
- doubtful debts;
- exchange losses;
- costs declared by the beneficiary and covered by another action receiving a grant from the European Union;
- excessive or reckless expenditure;
- expenses for travel to or from countries other than those participating in the programme, unless explicit prior authorisation is granted by the EACEA (i.e. this rule may be waived for Action 4 Active European Remembrance);
- contributions in kind.

III.2.6 Payment procedures

III.2.6.1 Pre-financing

When a project is approved, the EACEA sends a Grant Decision or a Grant Agreement, drawn up in euro and detailing the conditions and level of funding, to the beneficiary (see p.23).

On the basis of the assessment of the beneficiary's *financial capacity* (see p.19) a **pre-financing** payment of the grant will be transferred to the beneficiary. The pre-financing is aimed at providing cash flow to the beneficiary. The Executive Agency may require a beneficiary which has been awarded a grant exceeding 60.000 euro to provide a guarantee in advance, in order to limit the financial risks linked to pre-financing payment. In such case the pre-financing payment is conditioned by the reception of the guarantee.

In case of Grant Decision the beneficiary needs to confirm its intention to implement the project, by written notice, in order to receive a pre-financing payment. If written confirmation is not received by the EACEA a single payment will be made based upon the final report.

In case of Grant Agreement, the beneficiary needs to sign and to return the agreement to the EACEA. The Executive Agency will be the last part to sign.

A pre-financing payment will be done within **30 days** following the date when the EACEA signs the Agreement (**in case of Grant Agreement**) and following the receipt of a written notice from the beneficiary confirming its intention to implement the project (**in case of Grant Decision**).

III.2.6.2 Final payment

Final reporting

The grant will be paid to the beneficiary after submission to, and acceptance by the EACEA of a payment request included in the final report form.

The final report has to be submitted with official final report forms within **two months** following the end date of the project and must give a description of the results of the project as compared to the initial objectives. In order to receive the (final) payment, the beneficiary must send the final report and justifications/compulsory annexes specified under each Action/Measure as indicated on the following website: http://eacea.ec.europa.eu/citizenship/index_en.php

Furthermore, for projects budget based financed, in case of

• Grants for an action of more than EUR 60.000, but less than EUR 750 000;

the beneficiary has to submit, in support of the final payment, a "Report of Factual Findings on the Final Financial Report - Type I" produced by an approved auditor or in case of public bodies, by a competent and independent public officer.

The procedure and the format to be followed by an approved auditor or in case of public bodies, by a competent and independent public officer, are detailed in the following "Guidance Notes":

http://eacea.ec.europa.eu/about/eacea_documents_register_en.php

The use of the report format set by the "Guidance Notes" is compulsory.

Calculation of the final payment

Flat-rate financing

If the real number of eligible participants and days are lower than those anticipated in the project proposal the reduction of the grant will be calculated on the basis of the fixed "tranches" of participants (see ANNEX III for Action 1.1 Town Twinning page 59, ANNEX IV for Action 1 Measure 1.2 Networks of twinned towns, Action 2 Measure 3 Support to projects initiated by civil society organisations, Action 4 Active European Remembrance, page 60 of the present Guide).

Budget-based financing

If total actual eligible expenses of the project are less than the total estimated eligible expenses, the EACEA will reduce its grant accordingly. Under no circumstances the percentage of co-financing fixed by the Grant Decision/Agreement could be increased.

Where applicable, the beneficiary will be required to reimburse any excess amounts paid by

the Agency in the form of pre-financing. The Agency also reserves the right to reduce the amount of the grant if the organisation has not fully implemented the selected project.

PART TWO – SPECIFICITIES OF THE PROGRAMME ACTIONS

CHAPTER IV - PROJECT GRANTS

Project grants are as follows:

Action 1 - Active Citizens for Europe

IV.1 Action 1 Measure 1.1 – Town Twinning Citizens' Meetings

IV.2 Action 1 Measure 1.2 – Networks of Twinned Towns

IV.3 Action 1 Measure 2.1 Citizens' projects

IV.4 Action 1 Measure 2.2 Support measures

Action 2 - Active Civil Society in Europe

IV.5 Action 2 Measure 3 – Support to projects initiated by civil society organisations

Action 4 – Active European Remembrance

IV.6 Active European Remembrance

Action 1 – Active Citizens for Europe

IV.1 Action 1 – Measure 1.1 Town Twinning Citizens' Meetings

IV.1.1 Specific features

Town Twinning Citizens' Meetings consist in bringing together a wide range of citizens from twinned towns, taking benefit of the partnership between the municipalities for strengthening mutual knowledge and understanding between citizens and between cultures.

Town Twinning Citizens' Meetings projects are expected to:

- Experience an active civic participation at local level based on structured cooperation with civil society. This can be achieved through involving the local community in the planning and implementation of the project, fostering civic participation though volunteering as well as giving participants an active role during the event;
- Encourage citizens to become more involved at European level, thus contributing to the development of an active European citizenship. This can be achieved for example through learning about participation of European citizens in the democratic life of the EU, discussing the equal opportunities in political life;
- Reinforce the participants' commitment to European integration. This can be achieved through exchanging points of view and experiences in relation to the priorities of the Programme; sharing the experience of concrete benefits of European integration at local and individual levels; experiencing cultural diversity and discovering common cultural heritage in Europe; demonstrating solidarity and developing a feeling of belonging to the same community in Europe as a whole.

IV.1.2 Selection procedure

Throughout the selection procedure applications will be assessed against the following criteria: eligibility, exclusion, selection and award criteria.

IV.1.2.1 Eligibility criteria

Eligibility criteria are divided into three main categories targeting a) applicant and partners, b) project nature and dimension and c) application. This guide provides an overview table on the eligibility criteria applying under Europe for Citizens Programme (see ANNEX II, p.58).

A. Applicant and partners

A. 1 Nature of the Applicant and Partners

A.1.1 Legal status: Please refer to the Chapter II.2.1 (p.17).

A.1.2 Established in a participating country: Please refer to the Chapter II.2.1 (p.17).

A.1.3 Type of organisation

The applicants and partners must be: towns/municipalities or their twinning committees or other non profit organisations representing local authorities.

A.2 Number of Partners

A project must involve municipalities from at least 2 participating countries of which at least one is an EU Member State.

B. Project nature and dimension

B.1 Number of participants

A project must involve a minimum of **25** invited participants. "Invited participants" are international participants sent by the eligible partner/s. At least half of the participants should not be elected local government representatives or municipal officials.

B.2 Budget

The project proposals must respect the criterion related to the minimum eligible grant to be awarded per project.

Minimum eligible grant for a project: 5 000 EUR Maximum eligible grant for a project: 25 000 EUR

B.3 Venue

The activities must take place in any of the eligible programme countries (see p.17) participating to the project.

B.4. Eligibility period/project duration

The project must start within the relevant eligibility period, which depends on the deadline of the Measure within which the application is submitted (see Chapter I.8, $\underline{p.12}$).

Maximum duration of the meeting: 21 days.

C. Application

C.1 Official application form

C.2 Deadline

C.3 Official language

Please refer to Chapter II.1 (p.14) and Chapter II.2.1 (p.18).

IV.1.2.2 Exclusion criteria: Please refer to Chapter II.2.2 p.18.

IV.1.2.3 Selection criteria - Operational and financial capacity - Please refer to Chapter II.2.3 p.19.

IV.1.2.4 Award criteria: Please refer to Chapter II.2.4 p.20.

IV.1.3 Calculation of the grant

The grant is calculated on the basis of flat-rate financing. Flat-rate financing will be used to cover all costs relating to the meetings, i.e. costs of preparation, organisation, accommodation of the participants and travel expenses.

Taking into account the results of statistical analysis of 2008 and 2009 project, the flat rate system was simplified. The <u>daily rates for different countries</u> as well as <u>distance calculation</u>, previously used for calculation of the grant, <u>are cancelled</u>. The new flat rate system is exclusively based on number of invited participants (**fixed per "tranches"**) and number of days. The same parameters are valid for all participating to programme countries (For the flat rates applying within Measure 1.1 please see ANNEX III, p.59)

IV.1.4 Use of Grant Agreement or Grant Decision: Please refer to Chapter III.1.3, p.23.

IV.1.5 Payment procedures

IV.1.5.1 Pre-financing is not applicable to the Measure 1.1 "Town Twinning Citizens' Meetings"

IV.1.5.2 Final payment

Final reporting

The grant will be paid to the beneficiary after submission to, and acceptance by the EACEA of a payment request included in the final report form. The final report has to be submitted with official final report forms within **two months** following the end date of the project and must give a description of the results of the project as compared to the initial objectives. In order to receive the (final) payment, the beneficiary must send the final report and justifications as indicated on the following website: http://eacea.ec.europa.eu/citizenship/index_en.php

Calculation of the final payment

Under the Measure 1.1"Town Twinning Citizens' Meetings" if the real number of eligible participants and days are lower than those anticipated in the project proposal the reduction of the grant will be calculated on the basis of the fixed "tranches" of participants (see ANNEX III, p.59).

EXAMPLE:

According to the project proposal the number of eligible participants is 84 for the meeting lasting less than 10 days. Thus, for a citizens' meeting involving between 71/85 participants and lasting less than 10 days the grant awarded is €11 000.

Find below two cases showing the way the calculation of the final payment is made:

- a) Upon the final report the real number of participants attended the event is 65 and real number of days is less than 10 days. As the "tranche" of participants was changed from 71/85 to 56/70 the final payment will be reduced to €9 000.
- b) Upon the final report the real number of participants attended the event is 75 and real number of days is less than 10 days. Although the number of participants decreased from 84 to 75, the "tranche" of participants remains the same 71/85, thus the final payment will remain €11 000.

N.B. In order to receive the final payment, the minimum number of participants (25) must be respected under the Measure 1.1.

IV.2 Action 1 – Measure 1.2 Networks of Twinned Towns

IV.2.1 Specific features

Local authorities are regularly confronted with new issues and are involved in the implementation of a variety of policies, which are often linked to policy developments taken at European level. The networking between municipalities on issues of common interest appears to be an important means for enabling informed discussions and exchange of good practices.

Twinning is a strong link that binds municipalities; therefore, the **potential of the networks** created by a series of town twinning links should be used for developing *thematic* and *long-lasting* cooperation between towns. The Commission supports the development of such networks, which are important for ensuring structured, intense and multifaceted cooperation, therefore contributing to maximizing the impact of the Programme.

Networks of Twinned Towns' projects are expected to:

- Integrate a range of activities around the subject(s) of common interest to be addressed in the context of the Programme's priorities and having relevance for European integration;
- Produce **communication tools** in the context of these events with the aim of promoting structured and sustainable thematic networking and disseminating the results of the actions;
- Have **defined target groups** for which the selected themes are particularly relevant and involve community members active in the subject area (i.e. experts, local associations, citizens and citizens' groups directly affected by the theme, etc.);
- Serve as a **basis for future initiatives and actions** between the towns involved, on the issues addressed or possibly on further issues of common interest.

IV.2.2 Selection procedure

Throughout the selection procedure applications will be assessed against the following criteria: eligibility, exclusion, selection and award criteria.

IV.2.2.1 Eligibility criteria

Eligibility criteria are divided into three main categories targeting a) applicant and partners, b) project nature and dimension and c) application. This guide provides an overview table on the eligibility criteria applying under Europe for Citizens Programme (see ANNEX II, p. 58).

A. Applicant and partners

A. 1 Nature of the Applicant and Partners

A.1.1 Legal status Please refer to the Chapter II.2.1 (p.17).

A.1.2 Established in a participating country Please refer to the Chapter II.2.1 (p.17).

A.1.3 Type of organisation

- Towns/municipalities or their twinning committees or networks;
- Other levels of local/regional authorities;
- Federations/associations of local authorities.
- Non-profit organisations representing local authorities

A.2 Number of Partners

A project must involve municipalities from at least 4 participating countries of which at least one is an EU Member State.

B. Project nature and dimension

B.1 Number of participants

A project must involve a minimum of **30** invited participants. "Invited participants" are international participants sent by the eligible partner/s.

B.2 Budget

The project proposals must respect the criterion related to the minimum eligible grant to be awarded per project.

Minimum eligible grant for a project: 10 000 EUR Maximum eligible grant for a project: 150 000 EUR

B.3 Venue and number of activities

The activities must take place in any of the eligible programme countries (p.17) participating to the project. At least 3 events per project have to be foreseen.

B.4. Eligibility period/project duration

The project must start within the relevant eligibility period, which depends on the deadline of the Measure within which the application is submitted (see Chapter I.8, p.12).

Maximum project duration is 24 months.

Maximum duration of each event is 21 days.

C. Application

C.1 Official application form

C.2 Deadline

C.3 Official language

Please refer to Chapter II.1 (p.14) and II.2.1 (p.18).

IV.2.2.2 Exclusion criteria: Please refer to Chapter II.2.2, p.18.

IV.2.2.3 Selection criteria - Operational and financial capacity - Please refer to Chapter II.2.3, p.19.

IV.2.2.4 Award criteria: Please refer to Chapter II.2.4, p.20.

IV.2.3 Calculation of the grant

Taking into account the results of statistical analysis of 2008 and 2009 project, the flat rate system was simplified. The daily rates for different countries previously used for calculation of the grant, are cancelled. The new flat rate system is based on number of total participants (local and international) - fixed per "tranches" - and number of days. The same parameters are valid for all participating to programme countries.

The grant is calculated on the basis of flat-rate financing. The calculation of the total grant requested is obtained by combining:

A. the total amounts requested for each event;

- B. the amount requested **for communication tools**, if any;
- C. the amount requested **for coordination costs**, if any.

(For the flat rates applying within this Measure please see ANNEX IV, page 60 of the present Guide)

IV.2.4 Use of Grant Agreement or Grant Decision: Please refer to Chapter III.1.3, p.23.

IV.2.5 Payment procedures

Pre-financing is applicable: **50% of the total grant**. For information regarding the pre-financing and final payment procedures please refer to Chapter III.2.6, p.32.

IV.3 Action 1 – Measure 2.1 Citizens' projects

IV.3.1 Specific features

The measure "Citizen's projects" aims at exploring innovative methodologies and approaches to encourage citizens' active participation at European level and to stimulate the dialogue between European citizens and European institutions. Under this measure a variety of projects of a transnational and cross-sectoral dimension, directly involving citizens will be supported. Priority is given to projects aimed at encouraging local level participation.

Citizens' projects are expected to:

- Collect the opinion of citizens on some key European challenges for the future;
- Explore new methodologies capable of promoting active interaction and discussion between citizens on matters related to EU policies that affect their daily life;
- Create mechanisms that allow European citizens to develop civic competences, to formulate their views and opinions on the European integration process in the form of recommendations for policy makers at European level;
- Encourage the dialogue between European citizens and the institutions of the EU, empowering citizens as regards EU policies and their impact, and ensuring appropriate follow up of citizens' opinions by the EU institutions.

In this regard it is requested that at least 30% of the participants of the project must come from countries other than the country that hosts the project event.

Those objectives can be reached by establishing citizens' panels able to formulate recommendations that could be fed into the policy process at European level. A real bottom-up approach in the preparation and implementation of the project has to be encouraged. The citizens' recommendations resulting from these processes represent valuable inputs for the European Commission, because they are different and additional to the kinds of inputs that

the Commission receives through the conventional consultation channels.

The Directorate General for Communication of the European Commission (DG COMM) is willing to play its part by being a receptive interlocutor and a real partner for the organisations involved in the organisation of citizens' panels. Therefore DG COMM undertakes to provide information and expertise on the themes chosen by the selected projects, to facilitate access to other European experts and to give appropriate follow up to the citizens' recommendations resulting from the project.

IV.3.2 Selection procedure

Throughout the selection procedure applications will be assessed against the following criteria: eligibility, exclusion, selection and award criteria.

IV.3.2.1 Eligibility criteria

Eligibility criteria are divided into three main categories targeting a) applicant and partners, b) project nature and dimension and c) application. This guide provides an overview table on the eligibility criteria applying under Europe for Citizens Programme (see ANNEX II, p. 58).

A. Applicant and partners

A. 1 Nature of the Applicant and Partners

A.1.1 Legal status: Please refer to the Chapter II.2.1 (p.17).

A.1.2 Established in a participating country: Please refer to the Chapter II.2.1 (p.17).

A.1.3 Type of organisation

Civil society organisations - CSOs (as described in ANNEX I, p.53) or local authorities.

A.2 Number of Partners

A project must involve organisations/institutions from at least 5 participating countries of which at least one is an EU Member State.

B. Project nature and dimension

B.1 Number of participants

A project must involve a minimum of **200 participants**.

B.2 Budget

The project proposals must respect the criterion related to the minimum eligible grant to be awarded per project.

Minimum eligible grant for a project: 100 000 EUR Maximum eligible grant for a project: 250 000 EUR

B.3 Venue of activities

The activities must take place in any of the eligible programme countries (see p.17).

B.4. Eligibility period/project duration

The project must start within the relevant eligibility period, which depends on the deadline of the Measure within which the application is submitted (see Chapter I.8, <u>p.12</u>).

Maximum project duration is 12 months.

C. Application

C.1 Official application form

C.2 Deadline

C.3 Official language

Please refer to Chapter II.1 (p.14) and II.2.1 (p.18).

IV.3.2.2 Exclusion criteria: Please refer to Chapter II.2.2, p.18.

IV.3.2.3 Selection criteria - Operational and financial capacity - Please refer to Chapter II.2.3, p.19.

IV.3.2.4 Award criteria: Please refer to Chapter II.2.4, p.20.

IV.3.3 Calculation of the grant

The grant is calculated on the basis of budget-based financing. For more information on the budget-based financing please refer to Chapter III.2.5.2, p.30.

The grant may not exceed a maximum rate of **60% of eligible costs** of the action concerned. Accordingly, at least 40% of the total estimated eligible expenses must come from sources other than the EU budget. Under no circumstances will the amount awarded exceed the amount applied for.

IV.3.4 Use of Grant Agreement or Grant Decision: Please refer to Chapter III.1.3, p.23.

IV.3.5 Payment procedures

Pre-financing is applicable: **50% of the total grant**. For information regarding the pre-financing and final payment procedures please refer to Chapter III.2.6, p.32.

IV.4 Action 1 – Measure 2.2 Support measures

IV.4.1 Specific features

This measure aims at supporting the activities which may lead to the establishment of long-lasting partnerships and networks reaching a significant number of different stakeholders promoting active Europe citizenship, thus contributing to provide a better response to the programmes' objectives and maximizing the overall programme impact and effectiveness.

Support measures are expected to finance activities implemented by the structures such as platforms and networks targeting to develop and strengthen all programme's actions thus contributing to smooth programme implementation and assuring the large transnational coverage in favor of potential programme's stakeholders by means of the following types of activities:

- **Training sessions** enabling the potential Europe for Citizens Programme applicants to develop their knowledge and skills in running good quality projects;
- **Information sessions** promoting the Europe for Citizens Programme or its specific measure and targeting exchanges of experience and best practices among the current and potential programme's stakeholders;
- Creating platforms facilitating partner search and networking among current and potential Europe for Citizens Programme stakeholders.

IV.4.2 Selection procedure

Throughout the selection procedure applications will be assessed against the following criteria: eligibility, exclusion, selection and award criteria.

IV.4.2.1 Eligibility criteria

Eligibility criteria are divided into three main categories targeting a) applicant and partners, b) project nature and dimension and c) application. This guide provides an overview table on the eligibility criteria applying under Europe for Citizens Programme (see ANNEX II, p.58).

A. Applicant and partners

A. 1 Nature of the Applicant and Partners

A.1.1 Legal status: Please refer to the Chapter II.2.1 (p.17).

A.1.2 Established in a participating country: Please refer to the Chapter II.2.1 (p.17).

A.1.3 Type of organisation

Federations/associations of local authorities or other bodies with specific knowledge/experience of citizenship.

A.2 Number of Partners

A project must involve organisations from at least 2 participating countries of which at least one is an EU Member State.

B. Project nature and dimension

B.1 Number of participants – not applicable

B.2 Budget

The project proposals must respect the criterion related to the minimum eligible grant to be awarded per project.

Minimum eligible grant for a project: **30.000 EUR** Maximum eligible grant for a project: **100.000 EUR**

B.3 Venue of activities

The activities must take place in any of the eligible programme countries (see p.17).

At least 2 events per project have to be foreseen

B.4 Eligibility period/project duration

The project must start within the relevant eligibility period, which depends on the deadline of the Measure within which the application is submitted (see Chapter I.8, <u>p.12</u>).

Maximum project duration is 12 months.

C. Application

- C.1 Official application form
- C.2 Deadline
- C.3 Official language

Please refer to Chapter II.1 (p.14) and II.2.1 (p.18).

IV.4.2.2 Exclusion criteria: Please refer to Chapter II.2.2, p.18.

IV.4.2.3 Selection criteria - Operational and financial capacity - Please refer to Chapter II.2.3, p.19.

IV.4.2.4 Award criteria: Please refer to Chapter II.2.4, p.20.

IV.4.3 Calculation of the grant

The grant is calculated on the basis of budget-based financing. For more information on the budget-based financing please refer to Chapter III.2.5.2, p.30.

The grant may not exceed a maximum rate of **80% of eligible costs** of the action concerned. Accordingly, at least 20% of the total estimated eligible expenses must come from sources other than the EU budget. Under no circumstances will the amount awarded exceed the amount applied for.

IV.4.4 Use of Grant Agreement or Grant Decision: Please refer to Chapter III.1.3, p.23.

IV.4.5 Payment procedures

Pre-financing is applicable: **50% of the total grant.** For information regarding the pre-financing and final payment procedures please refer to Chapter III.2.6, p.32.

Action 2 – Active Civil Society in Europe

IV.5 Action 2 Measure 3 – Support for projects initiated by Civil Society Organisations

IV.5.1 Specific features

This measure supports concrete projects initiated by Civil Society Organisations (CSOs) established in participating countries, and working at European, national, regional or local level, on issues of common interest linked with the Programme's priorities and objectives.

Projects under this measure must target themes of general European interest paying particular attention to the impact of the European policies.

The projects under this measure are expected to include the activities such as conferences; seminars; debates; TV/radio broadcasts; production of audio-visual material; opinion polls; application of new information technologies etc. as well as any other activities providing room for innovation, involving a greater variety of publics, with a clearer dissemination strategy.

With a view to enhance more structuring projects, the maximum amount has been increased from 55.000 EUR to 150.000 EUR and the maximum duration of the projects extended from 12 to 18 months. This should allow for more room for innovation, the involvement of a greater variety of publics, and a stronger dissemination strategy.

IV.5.2 Selection procedure

Throughout the selection procedure applications will be assessed against the following criteria: eligibility, exclusion, selection and award criteria.

IV.5.2.1 Eligibility criteria

Eligibility criteria are divided into three main categories targeting a) applicant and partners, b) project nature and dimension and c) application. This guide provides an overview table on the eligibility criteria applying under Europe for Citizens Programme (see ANNEX II, p. 58).

Organisations of a general European interest which have been selected to receive an operating grant in 2013 under action 2.1 or 2.2 are not eligible for funding under this measure in 2013.

A. Applicant and partners

A. 1 Nature of the Applicant and Partners

A.1.1 Legal status: Please refer to the Chapter II.2.1 (p.17).

A.1.2 Established in a participating country: Please refer to the Chapter II.2.1 (p.17).

A.1.3 Type of organisation

Civil society organisations - CSOs (as described in ANNEX I, p.53).

A.2 Number of Partners

A project must involve organisations from at least 2 participating countries of which at least one is an EU Member State.

B. Project nature and dimension

B.1 Number of participants – not applicable

B.2 Budget

The project proposals must respect the criterion related to the minimum eligible grant to be awarded per project.

Minimum eligible grant for a project: 10 000 EUR. Maximum eligible grant for a project: 150 000 EUR.

B.3 Venue of activities

The activities must take place in any of the eligible programme countries (see p.17).

B.4 Eligibility period/project duration

The project must start within the relevant eligibility period, which depends on the deadline of the Measure within which the application is submitted (see Chapter I.8, <u>p.12</u>). Maximum project duration is **18 months**.

C. Application

- C.1 Official application form
- C.2 Deadline
- C.3 Official language

Please refer to Chapter II.1 (p.14) and II.2.1 (p.18).

- IV.5.2.2 Exclusion criteria: Please refer to Chapter II.2.2, p.18.
- **IV.5.2.3 Selection criteria** Operational and financial capacity Please refer to Chapter II.2.3, p.19.
- IV.5.2.4 Award criteria: Please refer to Chapter II.2.4, p.20.

IV.5.3 Calculation of the grant

Applicants can choose between two systems of financing:

- Flat-rate or
- Budget-based

Flat-rate financing

Taking into account the results of statistical analysis of 2008 and 2009 project, the flat rate system was simplified. The daily rates for different countries previously used for calculation of the grant, are cancelled. The new flat rate system is based on number of total participants (local and international) - fixed per "tranches" - and number of days. The same parameters are valid for all participating to programme countries.

The grant is calculated on the basis of flat-rate financing. The calculation of the total grant requested is obtained by combining:

- A. the total amounts requested for each event;
- B. the amount requested **for communication tools**, if any;
- C. the amount requested **for coordination costs**, if any.

(For the flat rates applying within this Measure please see ANNEX IV, page 60 of present Guide).

Budget based financing

The grant is calculated on the basis of budget-based financing. For more information on the budget-based financing please refer to Chapter III.2.5.2, p.30.

The grant may not exceed a maximum rate of **70% of eligible costs** of the action concerned. Accordingly, at least 30% of the total estimated eligible expenses must come from sources other than the EU budget. Under no circumstances will the amount awarded exceed the amount applied for.

IV.5.4 Use of Grant Agreement or Grant Decision: Please refer to Chapter III.1.3, p.23.

IV.5.5 Payment procedures

Pre-financing is applicable: **50% of the total grant.** For information regarding the pre-financing and final payment procedures please refer to Chapter III.2.6, p.32.

Action 4 – Active European Remembrance

IV.6 Action 4 – Active European Remembrance

IV.6.1 Specific features

The European Union is built on fundamental values such as freedom, democracy and respect for human rights. In order to fully appreciate their meaning, it is necessary to remember the breaches of those principles caused by Nazism and Stalinism in Europe. By commemorating the victims, by preserving the sites and archives associated with deportations, Europeans will preserve the memory of the past, including its dark sides. It is particularly important to do so now, as witnesses are progressively disappearing.

Under this action projects of the following types may be supported:

- Projects linked to the preservation of the main sites and memorials associated with the
 mass deportations, the former concentration camps and other large-scale martyrdom
 and extermination sites of Nazism, as well as the archives documenting these events
 and for keeping alive the memory of the victims, as well as the memory of those who,
 under extreme conditions, rescued people from the Holocaust;
- Projects linked to the commemoration of the victims of mass exterminations and mass deportations associated with Stalinism.

The projects under this measure are expected to include the similar activities as those mentioned under the Action 2 Measure 3 Support for projects initiated by CSOs (see p.47).

IV.6.2 Selection procedure

Throughout the selection procedure applications will be assessed against the following criteria: eligibility, exclusion, selection and award criteria.

IV.6.2.1 Eligibility criteria

Eligibility criteria are divided into three main categories targeting a) applicant and partners, b) project nature and dimension and c) application. This guide provides an overview table on the eligibility criteria applying under Europe for Citizens Programme (see ANNEX II, p.58).

A. Applicant and partners

A. 1 Nature of the Applicant and Partners

A.1.1 Legal status: Please refer to the Chapter II.2.1 (p.17).

A.1.2 Established in a participating country: Please refer to the Chapter II.2.1 (p.17).

A.1.3 Type of organisation

- Non-governmental organisations;
- Survivors' associations;
- Entities managing remembrance;
- Museums;
- Local and regional authorities;
- Federations of general European interest.
- Foundations
- Research/educational institutions

A.2 Number of Partners

A project must involve organisations from one eligible programme country.

B. Project nature and dimension

B.1 Number of participants – not applicable

B.2 Budget

The project proposals must respect the criterion related to the minimum eligible grant to be awarded per project.

Minimum eligible grant for a project: 10 000 EUR. Maximum eligible grant for a project: 100 000 EUR.

B.3 Venue of activities

The activities must take place in any of the eligible programme countries (see p.17).

B.4 Eligibility period/project duration

The project must start within the relevant eligibility period, which depends on the deadline of the Measure within which the application is submitted (see Chapter I.8, <u>p.12</u>). Maximum project duration is **18 months**.

C. Application

C.1 Official application form

C.2 Deadline

C.3 Official language

Please refer to Chapter II.1 (p.14) and II.2.1 (p.18).

IV.6.2.2 Exclusion criteria: Please refer to Chapter II.2.2, p.18.

IV.6.2.3 Selection criteria - Operational and financial capacity - Please refer to Chapter II.2.3, p.19.

IV.6.2.4 Award criteria: Please refer to Chapter II.2.4, p.20.

IV.6.3 Calculation of the grant

Applicants can choose between two systems of financing:

- Flat-rate or
- Budget-based

Flat-rate financing

Taking into account the results of statistical analysis of 2008 and 2009 project, the flat rate system was simplified. The daily rates for different countries previously used for calculation of the grant, are cancelled. The new flat rate system is based on number of total participants (local and international) - fixed per "tranches" - and number of days. The same parameters are valid for all participating to programme countries.

The grant is calculated on the basis of flat-rate financing. The calculation of the total grant requested is obtained by combining:

- A. the total amounts requested **for each event**;
- B. the amount requested for communication tools, if any;
- C. the amount requested for coordination costs, if any.

(For the flat rates applying within this Measure please see ANNEX IV, page 60 of the current Guide).

Budget based financing

The grant is calculated on the basis of budget-based financing. For more information on the budget-based financing please refer to Chapter III.2.5.2, p.30.

The grant may not exceed a maximum rate of **70% of eligible costs** of the action concerned. Accordingly, at least 30% of the total estimated eligible expenses must come from sources other than the EU budget. Under no circumstances will the amount awarded exceed the amount applied for.

IV.6.4 Use of Grant Agreement or Grant Decision: Please refer to Chapter III.1.3, p.23.

IV.6.5 Payment procedures

Pre-financing is applicable: **50% of the total grant.** For information regarding the pre-financing and final payment procedures please refer to Chapter III.2.6, <u>p.3</u>2.

ANNEX 1 -GLOSSARY

Active European citizenship should be understood as "participation in civil society, community and/or political life, characterised by mutual respect and non-violence and in accordance with human rights and democracy" (European Commission JRC/CRELL research project in 2006)

Award criteria: these criteria form the basis for assessing the quality of the proposals with regard to the objectives and requirements set out for each Programme strand. They comprise both quality and quantity elements, each of which is assigned a specific weight.

Bank account: this is the *beneficiary's* bank account or sub-account, <u>denominated in EUR</u>, through which any payments linked to the action shall be made. The Executive Agency will create a file with details of this bank account or sub-account based on the *Financial Identification Form* supplied by the coordinator.

Beneficiary: the organisation legally responsible for the implementation of the action and recipient of the grant.

Budgetary authority: the European Council and the European Parliament establish the budget of the European Union on the basis of a proposal from the European Commission.

Citizens' panels are a model for stimulating active interaction between citizens of the EU, by encouraging dialogue between them and supporting the formulation of opinions concerning the European integration process. See http://ec.europa.eu/citizenship/pilot-projects/doc383_en.htm

Civil society organisations (CSOs) include among others, trade unions, educational institutions and organisations active in the field of voluntary work and amateur sport (e.g. NGOs, umbrella organisations, networks, associations and federations, think tanks, universities, religious organisations).

Conflict of interests: according to the Financial Regulation (Article 52),

- 1. All financial actors and any other person involved in budget implementation, management, audit or control shall be prohibited from taking any action which may bring their own interests into conflict with those of the Communities. Should such a case arise, the person in question must refrain from such actions and refer the matter to the competent authority.
- 2. There is a conflict of interests where the impartial and objective exercise of the functions of a financial actor or other person, as referred to in paragraph 1, is compromised for reasons involving family, emotional life, political or national affinity, economic interest or any other shared interest with the *beneficiary*.'

Depreciation of equipment: in the event of the purchase of equipment used for the purposes of the project or the co-financed annual work programme, depreciation shall be applied. Only depreciation during the *eligibility period*, as defined in the *Grant Agreement/Decision*, is an eligible *direct cost*, to the extent that the equipment is specifically used for the project or in relation to the activities of the work programme co-financed. The depreciation rules to be applied are the national tax and accounting rules of the *beneficiary* organisation.

Direct costs: eligible direct costs are those costs which can be identified as specific costs directly linked to the implementation of the project or the work programme and which can therefore be booked to it directly.

eForm is the grant application electronic form available for completion and submission at http://eacea.ec.europa.eu/eforms/index_en.php#1

Europe for Citizens Points (ECPs) are national structures responsible for ensuring effective grass-roots dissemination of practical information on the Programme funding opportunities, its implementation, its activities and its dissemination. To see the list of Europe for Citizens Points throughout Europe and the respective contact details, please consult: http://ec.europa.eu/citizenship/how-to-participate/doc714_en.htm

EFTA/EEA countries: the three Programme countries which are members of the European Free Trade Association (EFTA) and of the European Economic Area (EEA): Iceland, Liechtenstein and Norway.

Eligible budget: the budget of a proposal must be presented in EUR and consist of two parts: the estimated costs eligible for funding by the European Union and the estimated income (including the requested grant). The budget must be always in balance (expenditure = income).

Eligible costs: necessary, specific and reasonable expenditure incurred by the *beneficiary/co-organisers* in implementing the co-financed action or by the *beneficiary* organisation in implementing the activities defined in its annual work programme. It must be recorded in the accounts in accordance with the applicable accounting principles. The internal accounting and auditing procedures must permit direct reconciliation of the costs and revenue declared in respect of the action with the corresponding accounting statements and supporting documents.

Eligibility criteria: the eligibility criteria are set out for each Programme *measure* and are verified during the first step of the selection process of submitted proposals. Only proposals which comply with the corresponding eligibility criteria are subject to an in depth assessment on the basis of *selection* and *award criteria*.

Eligibility period: the period during which *eligible costs* must be generated, that is costs which are necessary for the implementation of the action or the co-financed work programme and give rise to an obligation to pay. The period of eligibility is stipulated in the *Grant Agreement/Grant Decision*.

Exclusion criteria: these criteria are of a general nature and are pertinent to all applicants of grants awarded by the Commission. Applicants must certify that they comply with the provisions set out in Articles 93(1), 94 and 96(2)(a) of the Financial Regulation.

Financial capacity of the applicant: this is one of the *selection criteria* which are assessed during the process for the selection of submitted proposals when grant requested exceed € 60.000. Applicants must have stable and sufficient sources of funding to maintain their activity throughout the period during which the action is being carried out and to participate in its funding. In order to facilitate the verification of *financial capacity*, the Financial Capacity Form must be submitted.

Financial Identification Form: the Executive Agency services cannot award a grant, nor can they authorise pre-financing of final payments, as long as the particulars of *beneficiaries* have not been recorded and centrally validated. For that purpose, applicants must submit a Financial Identification Form which would allow verification of the *bank account* associated with the *Grant Agreement/Grant Decision*. This form must be signed by the account holder and certified by the bank (i.e. official stamp of the bank and signature of a representative of the bank).

Flat rates: Under this system, the grant is calculated on the basis of a fixed amount. The system for calculating the grant based on flat rated and lump sums was introduced to simplify grant management both for the beneficiaries and for the Agency.

Grant Agreement: funding of meritorious proposals by the European Union may take the form of a *Grant Agreement* between the Executive Agency and the *beneficiary*. The *Grant Agreement* sets out the terms and conditions governing the awarded grant and takes effect upon signature by the last of the two parties, i.e. the Executive Agency. It may be amended during the *eligibility period* of the action.

Grant Calculation sheet is the official form to be used for grant calculation, which has to be attached to the eForm before its submission. It is considered as an integral part of the application form.

Grant Decision: funding of meritorious proposals by the European Union may take the form of a Grant Decision, which is signed unilaterally by the Executive Agency. The Grant Decision sets out the terms and conditions governing the awarded grant. It may be amended during the *eligibility period*.

Indirect costs (administrative/operating costs): these are *eligible costs* which are not identifiable as specific costs directly linked to the implementation of the action (i.e. cannot be booked to it directly), but which can be identified and justified as having been incurred in connection with the action. They may include costs such as rent, heating, electricity, gas, communication costs and postage.

Legal entity: to be eligible, applicants must be legal entities, i.e. private or public organisations with legal personality. To make it possible to ascertain whether applicants are legal entities, the *Legal Entity Form*, together with the appropriate supporting documents (i.e. articles of association or statutory decree) must be submitted.

Operational capacity: this is one of the *selection criteria* which are assessed during the process for the selection of submitted proposals when grant requested exceed ≤ 60.000 . Applicants must have the professional skills and qualifications required to complete the proposed action or work programme.

Participating to programme countries: the Programme is open to the Member states of the European Union: Austria, Belgium, Bulgaria, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, United Kingdom. The following countries have joined the Programme and are therefore eligible to participate fully in all actions of the Programme: Croatia, The Former Yugoslav Republic of Macedonia, Albania, Montenegro, Serbia, Bosnia and Herzegovina.

Potentially participating to programme countries: the Programme is potentially open to other countries, provided that certain legal and financial obligations are fulfilled (i.e. signing a memorandum of understanding laying down details of their respective participation in the Programme). More information on the developments related to the participation of these countries can be found at http://ec.europa.eu/citizenship/focus/focus14 en.htm. The potentially participating to programme countries are as follows:

- EFTA countries which are parties to the EEA (Iceland, Liechtenstein and Norway);
- the candidate country⁷ (Turkey);
- Kosovo under United Nations Security Council Resolution 1244.

Programme Committee: based on the decision establishing the Europe for Citizens Programme, the Commission and the Executive Agency are assisted by a committee comprising representatives of the *countries taking part in the Programme*. This Committee is kept informed and invited to deliver its opinion on, among others, the implementation of the Programme's work plan, the award criteria, selection procedures, and the general balance between the various actions of the programme.

Proof that an organization is acting on behalf of one or more local authorities (applicable only to non profit organizations and twinning committees applying within Measure 1.1 Town Twinning Citizens Meetings and Measure 1.2 Networks of Twinned Towns) – is an official letter clearly stating that the applicant is acting on behalf of the local authority, signed by the representative of this local authority.

Public organisation: any body part of whose expenditure is automatically funded by the public treasury, whether from the central, regional or local-government budget. Such expenditure, in other words, is covered by public-sector funds raised by means of taxation, fines or commission payments regulated by law without the need for recourse to an application procedure which could be an obstacle to the acquisition of those funds. Organisations whose existence depends on public funding and which receive grants year after year but which are theoretically liable not to receive any funding in a given year are not regarded by the Agency as public organisations but as private organisations.

Right of scrutiny: the European Parliament has a right of scrutiny over implementing measures falling under the co-decision procedure (i.e. decisions taken by the Council and Parliament on the basis of a proposal from the Commission). In order to exercise this right, Parliament has one month to examine a draft measure before the Commission takes the formal decision. The time limit starts as soon as the proposed implementing measure (i.e. list of proposals selected for co-financing) is transmitted to Parliament after consultation of the *Programme Committee*.

Selection criteria: these criteria serve as the basis for the assessment of the *operational* capacity and the *financial* capacity of applicant organisations to complete the proposed project or work programme (see also *Operational* capacity and *Financial* capacity).

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⁷ Applicant countries for EU membership are granted candidate country status from the day their application is officially accepted by the European Council.

Subcontracting (implementation contracts/awarding of procurement contracts): any services and/or goods provided for the proposed project or work programme by a party other than the applicant organisations and paid for or reimbursed in full by the applicant organisations, irrespective of the form of legal agreement made between them and the third party. Subcontracting parties must be listed in the application form, and the direct costs linked to the activities carried out by these parties must be clearly indicated in the budget. The total amount of procurement contracts shall not exceed half of the grant awarded.

Twinned towns must be understood in *largo sensu*, thus referring to the towns which signed or are engaged to sign twinning agreements as well as to the towns having other forms of partnerships fostering their cooperation and cultural links.

Twinning agreements there is no single format or model for such agreements therefore the agreement can be phrased quite generally in terms of mutual cooperation, bringing citizens closer to each other, and the development of future relations and joint actions. The details of existing and future agreements have to be given on the grant application form however it is not necessary to submit copies of agreements with the application package.

Valorisation can be defined as the process of disseminating and exploiting the results of actions with a view to optimising their value, strengthening their impact and making the largest possible number of European citizens benefit from them.

Europe for Citizens - Programme Guide - version valid as of 2013 - ANNEX II - Overview of Eligibility Criteria

Euro	ACTION 1 - Active Citizens for Europe				ACTION 2 - Active Civil Society in Europe	ACTION 4	
ELIGIBILIY CRITERIA	Measure 1.1 Town Twinning Citizens' Meetings*	Measure 1.2 Networks of Twinned Towns	Measure 2.1 Citizens' Projects	Measure 2.2 Support Measures	Measure 3 Support for Projects Initiated by CSOs	Active European Remembrance	
		A. NATURE O	F APPLICANT/PA	RTNERS			
A. 1 LEGAL STATUS: ALL applicant	s/partners must be either PUBL	IC BODIES or NON PROFT OR	GANISATIONS w	ith legal personality depending on	the measure you apply fo	or	
A.2 ALL applicants/partners must be E	STABLISHED in one of the par	ticipating to Programme country	(EU Member state	s + Croatia, Albania, Former Yug	oslav Republic of Maced	onia, Montenegro, Serbia, Bosnia and	
Herzegoviva)							
A.3 TYPE of organisation	I				Ι		
PUBLIC BODIES or NON PROFIT ORGANISATION	Towns/Municipalities Twinning committees representing local	Towns/Municipalities Twinning committees representing local authorities	Local authorities CSOs (for	Federations/associations of local authorities	CSOs (for definition see p.53)**	Memorials/Museums Survivor's associations Entities managing remembrance	
WITH LEGAL PERSONALITY	authorities Non-profit organisations representing local authorities	Non-profit organisations representing local authorities Local/Regional authorities	definition see <u>p.</u> 53)	Bodies with specific knowledge/experience of citizenship		NGOs, Foundation, educational institutions Federations of general interests	
	unio i i i	Federations/associations of local authorities				Local/Regional authorities	
A.4 MINIMUM NUMBER OF PARTN	ERS (i.e. countries) to be involv	red in a project including applicant	t, of which at least	one is an EU Member State			
At least 2 participating countries	X			X	X	N/A	
At least 4 participating countries		X					
At least 5 participating countries		P. PROJECT/WORK PROC	X	AL AND DIMENSION			
		B. PROJECT/WORK PROC	FRAMME NATUE	RE AND DIMENSION			
B.1 MINIMUM NUMBER OF PARTIC				77/1	T	7711	
D A DVD GVD	25	30	200	N/A	N/A	N/A	
B.2 BUDGET	1				T T		
MINIMUM grant eligible for a project/work programme (EUR)	5 000.00	10 000.00	100 000.00	30 000.00	10 000.00	10 000.00	
MAXIMUM grant eligible for a project/work programme (EUR)	25 000,00	150 000.00	250 000.00	100 000.00	150 000.00	100 000.00	
B.3 VENUES and Number of activities: The activities must take place in any of the eligible programme countries (see p.17)*							
Minimum Number of events per project	N/A	at least 3 events	N/A	at least 2 events	N/A	N/A	
B4: PROJECT DURATION – Maximu	m Project Duration within the re	elevant eligibility period					
	9 months per project/ 21 days (meeting duration)	24 months per project/ 21 days per event	12 months	12 months	18 months	18 months	
	-	<u>C.</u> A	APPLICATION				
C.1 Official application form: The project proposal is eligible if it is submitted using the currently available grant application electronic form (eForm)							
			C.2 Deadline: Project proposals have to be submitted within the deadlines foreseen for each Programme measure and start within the relevant eligible period (see p.12)				
C.2 Deadline: Project proposals have to	be submitted within the deadlin	ies foreseen for each Programme n	neasure and start v	vithin the relevant eligible period	(<u>see p.12</u>)		

^{*} N.B. Under the Measure 1.1 and Measure 1.2 the activities must take place in any of the eligible programme countries (see p.17) participating to the project.

** Organisations that have received an Operating Grant in 2013 under action 2 Measures 1 and 2 are not eligible for funding under action 2 Measure 3 in 2013

ANNEX III
FLAT RATES applying under the Measure 1.1 "Town Twinning Citizens Meetings"

	Number of days	Number of days	
Number of participants	≤10	>10	
>190	€25 000		
176/190	€5 000		
161/175	€3 000		
146/160	€ 1 000	€ 25 000	
131/145	€19 000	2 3 000	
116/130	€ 17 000		
101/115	€ 15 000		
86/100	€13 000	€3 000	
71/85	€11000	€19 000	
56/70	€ 000	€15 000	
41/55	€ 7 000	€1 000	
25/40	€ 000	€ 7 000	

In terms of an EXAMPLE: if the number of invited participants falls under the "tranche" 25/40 (i.e. number of participants is between 25 and 40 persons) and the meeting lasts 10 days or less, the eligible grant to be requested is of 65 000. If the number of invited participants falls under the same "tranche" (25/40 participants) but the meeting lasts more than 10 days the eligible grant to be requested is of 67 000.

New ANNEX IV

FLAT RATES applying under

Action 1 Measure 1.2 "Networks of Twinned Towns"

Action 2 Measure 3 "Projects Initiated by CSOs" and

Action 4 "Active European Remembrance"

FLAT RATE A: Participants per event				
	Number of days	Number of days		
Number of participants	< 3	≥ 3		
>190	25000			
176/190	23000			
161/175	21000			
146/160	19000	25000		
131/145	17000			
116/130	15000			
101/115	13000			
86/100	11000	21000		
71/85	9000	17000		
56/70	7000	13000		
41/55	5000	9000		
25/40	4000	5000		

FLAT RATE B: Communication tools

Number of tools	< 12 months	≥ 12 months
1	1500	3000
2	3000	6000
3	4500	9000
> 3	5000	10000

FLAT RATE C: Coordination costs

Number of partners	< 12 months	≥ 12 months
2-3	1500	3000
4-5	2500	5000
6-7	3500	7000
8-9	4500	9000
10-11	5500	11000
12-13	6500	13000
> 13	7500	15000

Example:

For a 12 month 'Civil Society' project including 2 events gathering 50 and 85 participants respectively, with duration of two days each, involving 4 partners and using 2 communication tools, the grant is calculated in the following way:

Participants (A): 5 000 + 9 000

Communication Coordination (B): 6 000

Coordination (C): 5 000

Total : 25 000€